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Approved for use through 10/31/2002. OMB 0651-0002
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

C-535/TEC1273

First Inventor

Robin G. Skinner et al.

Title

BEARING SUPPORT AND STATOR ASSEMBLY...

Express Mail Label No.

EL 905874739 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **13**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **10**]
5. Oath or Declaration [Total Pages **3**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check No. **109792**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

000832

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

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Name (Print/Type)

Brian C. Pauls

Registration No. (Attorney/Agent)

40,122

Signature

Date

July 11, 2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$790.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Robin G. Skinner et al.

Examiner Name

Group Art Unit

Attorney Docket No.

C-535/TEC1273

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

02-0385

Deposit Account Name

BAKER & DANIELS

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|------------------------|-------------|
| 1001 | 2001 | 750 | 375 | Utility filing fee | 750.00 |
| 1002 | 2002 | 330 | 165 | Design filing | |
| 1003 | 2003 | 520 | 260 | Plant filing fee | |
| 1004 | 2004 | 750 | 375 | Reissue filing | |
| 1005 | 2005 | 160 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$ 750.00) |

2. EXTRA CLAIM FEES FOR UTILITY AND

| Extra Claims | Fee from below | Fee Paid |
|--------------------------------|----------------|----------|
| Total Claims 16 - 20** = 0 | X 0.00 = | 0.00 |
| Independent Claims 2 - 3** = 0 | X 0.00 = | 0.00 |
| Multiple Dependent | | |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|-----------|
| 1202 | 2202 | 18 | 9 | Claims in excess of 20 | |
| 1201 | 2201 | 84 | 42 | Independent claims in excess of 3 | |
| 1203 | 2203 | 280 | 140 | Multiple dependent claim, if not paid | |
| 1204 | 2204 | 84 | 42 | ** Reissue independent claims over original patent | |
| 1205 | 2205 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | (\$ 0.00) |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---------------------|--------------|----------|----------|--|------------|
| 1051 | 2051 | 130 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 2052 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 2053 | 130 | 130 | Non - English specification | |
| 1812 | 2520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | 110 | 55 | Extension for reply within first month | |
| 1252 | 2252 | 410 | 205 | Extension for reply within second month | |
| 1253 | 2253 | 930 | 465 | Extension for reply within third month | |
| 1254 | 2254 | 1,450 | 725 | Extension for reply within fourth month | |
| 1255 | 2255 | 1,970 | 985 | Extension for reply within fifth month | |
| 1401 | 2401 | 320 | 160 | Notice of Appeal | |
| 1402 | 2402 | 320 | 160 | Filing a brief in support of an appeal | |
| 1403 | 2403 | 280 | 140 | Request for oral hearing | |
| 1451 | 2451 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 2452 | 110 | 55 | Petition to revive - unavoidable | |
| 1453 | 2453 | 1,300 | 650 | Petition to revive - unintentional | |
| 1501 | 2501 | 1,300 | 650 | Utility issue fee (or reissue) | |
| 1502 | 2502 | 470 | 235 | Design issue fee | |
| 1503 | 2503 | 630 | 315 | Plant issue fee | |
| 1460 | 2460 | 130 | 130 | Petitions to the Commissioner | |
| 1807 | 2807 | 50 | 50 | Processing fee under 37 CFR § 1.17(q) | |
| 1806 | 2806 | 180 | 180 | Submission of Information Disclosure Statement | |
| 8021 | 28021 | 40 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 | 2809 | 750 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 2810 | 750 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 2801 | 750 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 2802 | 900 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) | | | | | (\$ 40.00) |

SUBMITTED BY

Name (Print/Type)

Brian C. Pauls

Registration No. (Attorney/Agent)

40,122

Complete (if applicable)

Telephone

260-424-8000

Signature

Date

July 11, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Robin G. Skinner et al.**

Docket No.

C-535/TEC1273

Serial No.

Filing Date

Examiner

Group Art Unit

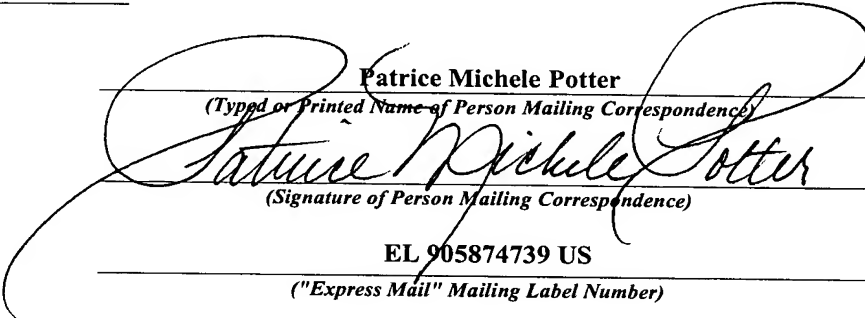
Invention: **BEARING SUPPORT AND STATOR ASSEMBLY FOR COMPRESSOR**

I hereby certify that the following correspondence:

New U.S. Utility Patent Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 11, 2003*(Date)***Patrice Michele Potter***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL 905874739 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**